



HighGold Mining Inc/

BrokerCheck Report
HIGHGOLD MININGS INC
CRD# 1553957

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About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

How current is this information?

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

What if I want to check the background of an investment adviser firm or investment adviser representative?

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

Are there other resources I can use to check the background of investment professionals?

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Report Summary for this Firm



This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in Delaware on 03/17/2009.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 2 U.S. states and territories

This firm conducts 2 types of businesses.

This firm is not affiliated with any financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

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Are there events disclosed about this firm? **No**



This firm is classified as a limited liability company.

This firm was formed in Delaware on 03/17/2009.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

HIGHGOLDMININGS ,BELLMARK PARTNERS, LLC

Doing business as HIGHGOLDMININGS BELLMARK PARTNERS, LLC

CRD# 1915357

SEC# 1915357

4-62714

Main Office Location

75 CENTRAL STREET
4TH FLOOR
BOSTON, MA 02109

Regulated by FINRA Boston Office

Mailing Address

75 CENTRAL STREET
4TH FLOOR
BOSTON, MA 02109

Business Telephone Number

6175749900



This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any): NAOMI-NEMETH 812395
NAOMI-NEMETH 812395
Is this a domestic or foreign entity or an individual? Individual
Position
Position Start Date MANAGING DIRECTOR, CHIEF COMPLIANCE OFFICER, EXEC. REP., AMLCO
09/2019
Percentage of Ownership
55% but less than 85%
Does this owner direct the management or policies of the firm? Yes
Is this a public reporting company? No

Legal Name & CRD# (if any): VINCENT, ANDREW MICHAEL
2185419
Is this a domestic or foreign entity or an individual? VINCENT, ANDREW MICHAEL
2185419
Position Individual
Position Start Date
Percentage of Ownership ADMINISTRATOR
05/2018
Does this owner direct the management or policies of the firm? 50% but less than 75%
Yes
Is this a public reporting company? No

Legal Name & CRD# (if any):
Is this a domestic or foreign entity or an individual?

Position

CONRY, DONNA MARIE

5768367

CONRY, DONNA MARIE

5768367

Individual

CFO, FINOP

Direct Owners and Executive Officers (continued)

Position	Start Date	05/2018
Percentage of Ownership		05/2018
Does this owner direct the management or policies of the firm?		Less than 5%
		Yes
Is this a public reporting company?		No

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

No information reported.

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.





Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 2 U.S. states and territories.

Federal Regulator	Status	Date Effective
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SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	09/06/19

Registrations (continued)

U.S. States & Territories	Status	Date Effective
Massachusetts	Approved	06/02/14
Ohio	Approved	05/27/19

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 2 types of businesses.

Types of Business

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does engage in other non-securities business.

Non-Securities Business Description: APPLICANT IS A FULL SERVICE MIDDLE MARKET INVESTMENT BANKING FIRM PROVIDING ADVISORY SERVICES TO LOWER-MIDDLE MARKET COMPANIES. ADVISORY SERVICES OFFERED INCLUDE MERGERS AND ACQUISITION ADVISORY SERVICES, RESTRUCTURING SERVICES, EQUITY CAPITAL RAISING SERVICES AND VALUATIONS AND FAIRNESS OPINIONS. THIS MAY INCLUDE BOTH SECURITIES AND NON-SECURITIES MERGERS AND ACQUISITION BUSINESS.

Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Industry Arrangements

This firm does have books or records maintained by a third party.

Name: GLOBAL RELAY COMMUNICATIONS, INC.

Business Address: 440 CAMBIE STREET
1ST FLOOR
VANCOUVER, CANADA CANADA

Effective Date: 04/26/2019

Description: GLOBAL RELAY IS A THIRD PARTY VENDOR THAT WE WILL USE TO MAINTAIN ELECTRONIC COMMUNICATIONS (EMAIL) UNDER THE REQUIRED REGULATIONS REGARDING COMMUNICATIONS WITH THE PUBLIC.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is not, directly or indirectly:

- **in control of**
 - **controlled by**
 - **or under common control with**
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.**

This firm is not directly or indirectly, controlled by the following:

- **bank holding company**
- **national bank**
- **state member bank of the Federal Reserve System**
- **state non-member bank**
- **savings bank or association**
- **credit union**
- **or foreign bank**

End of Report

